



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q71182

Kenji SHIMIZU, et al.

Appln. No.: 10/617,822

Group Art Unit: 2651

Confirmation No.: 5227

Examiner: Not yet assigned

Filed: July 14, 2003

For: MAGNETIC RECORDING MEDIUM, METHOD OF MANUFACTURING THE SAME,  
AND MAGNETIC RECORDING AND REPRODUCTION APPARTUS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after



INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/617,822

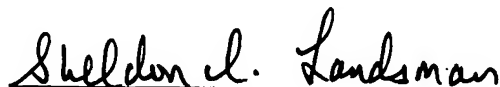
filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the requirement for a concise explanation of relevance for foreign language documents, applicants direct the Examiners' attention to page 3 of the present specification where each of the three listed documents are discussed, and to the enclosed English language Patent Abstracts of Japan for JP 63-211117 A and JP 08-180360 A and to the enclosed English language Patent Abstracts of Japan for JP 01-263910 A which corresponds to the listed JP 2669529 B2.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: November 7, 2003



Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

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|------------------------|------------------|
| Application Number     | 10/617,822       |
| Confirmation Number    | 5227             |
| Filing Date            | July 14, 2003    |
| First Named Inventor   | Kenji SHIMIZU    |
| Art Unit               | 2651             |
| Examiner Name          | Not yet assigned |
| Attorney Docket Number | Q71182           |

Sheet

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of

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**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|---|
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US              |                                      |                                |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Translation <sup>6</sup>  |
|-----------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|---|---|
|                       |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |   |
|                       |                          | JP                           | 63-211117           | A                                    | 09-02-1988                     | HITACHI LTD   | Abstract  |
|                       |                          | JP                           | 08-180360           | A                                    | 07-12-1996                     | HITACHI LTD   | Abstract  |
|                       |                          | JP                           | 2669529             | B2                                   | 07-04-1997                     | HITACHI LTD   | Abstract of JP 01-263910<br>A corresponding to JP<br>2669529 B2 |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,<br>city, and/or country where published. | Translation <sup>6</sup> |
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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.